



PRODUCTS & SOLUTIONS | FORM N-PORT SOLUTION

# Meet Form N-PORT Requirements Confidently with DFIN ArcRegulatory® End-to-End Reporting Software



Rely on the industry leader — DFIN — to streamline your filing process

At DFIN, we know that creating and filing Form N-PORT can be a time-consuming process. It frequently requires managing a variety of data sources in many file formats from multiple vendors. Form N-PORT also requires additional data to be reported, including information about derivatives, securities lending, portfolio risk metrics and repurchase agreements. All this data must be collected within 30 days of each month-end and filed within 60 days of each quarter-end.

**ArcRegulatory makes your Form N-PORT process more efficient — and helps keep you compliant**

DFIN's state-of-the-art global reporting platform, ArcRegulatory, enables you to easily perform complex regulatory activities through one streamlined application. It is a proven, secure web-based platform that was developed to consolidate data and generate the XML file format necessary for filing Form N-PORT with the SEC.

Our regulatory platform is constantly being updated with SEC regulatory changes. For example, the recent SEC Names rule will require changes to Form N-PORT in December 2025, and ArcRegulatory has already been updated to support those changes. The Liquidity Risk Management rule will also impact Form N-PORT as of November 17, 2025, and change the filing requirements to 30 days after each month-end — unless net assets of the registrant are less than \$1 billion, then the new filing deadlines take effect on May 18, 2026. ArcRegulatory will also support these requirements.

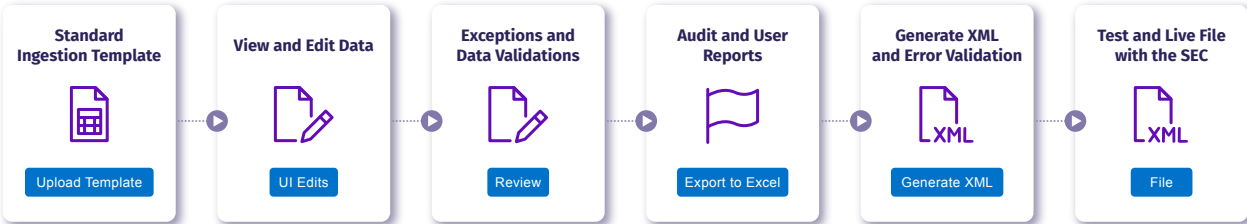
# Let DFIN handle your N-PORT filing from start to finish with our managed service offering

Don't have the time or resources to prepare Form N-PORT on your own? Let DFIN's team of Form N-PORT subject matter experts aggregate, review and file Form N-PORT with the SEC on your behalf. Our team utilizes automated validations and exceptions tools to ensure data consistency and accuracy, period over period. And we will address any questions or concerns you may have regarding your Form N-PORT filing directly with the SEC.

## WITH ARCREGULATORY'S EASY-TO-USE SOLUTION YOU CAN:

- Ingest data leveraging standard templates, including Form N-PORT
- Ingest, process and file bulk data for all funds
- Roll forward static fund information month to month
- Easily review data within the application or with Excel-based reports
- Ensure consistency and version control through the reuse and sharing of common content across funds
- Perform risk metrics calculations
- Ensure a controlled environment via role-based action
- Produce audit reports to track user changes
- Create XML and file directly with the SEC
- Attach files for Part F (EX) required for N-PORT filings
- Integrate with third-party data providers
- Customize the approval process
- Automate the check of XML schema errors

## Streamline the process of creating, producing, reviewing and filing Form N-PORT



## Need to file Form N-CEN? ArcRegulatory can help you meet those requirements too.

### **ArcRegulatory is a full, end-to-end solution for creating, reviewing and filing Form N-CEN:**

- ✓ Ensures regulatory compliance with the SEC
- ✓ Loads data via standard ingestion template
- ✓ Generates XML output and detects filing errors
- ✓ Uses intuitive interface facilitating user-driven edits
- ✓ Files test and live data directly with the SEC
- ✓ Rolls forward static data year over year
- ✓ Provides audit and user reports to enhance the review process
- ✓ Offers managed service option

### Why DFIN?

ArcRegulatory's module for Form N-PORT is grounded in DFIN's existing Arc Suite® ecosystem, which grew from our integrated services framework that revolves around our clients, allowing them to customize their experience. To sum it up, we are the only resource you need for all your specific regulatory needs and concerns. A true all-in-one solution, Arc Suite can help you navigate the challenges and complexities of an ever-changing regulatory environment with more confidence and control. For example, ArcRegulatory's software is automatically updated with changing regulations and new requirements, so you can rest easy knowing your company is current and fully compliant.

---

Ultimately, when you choose to work with DFIN, you are preparing for the future.

You're buying into something bigger than a single software solution; you're partnering with an established market leader that is forward leaning and thinking – which, in turn, will help your organization be better prepared for what is to come.

---

### **About Donnelley Financial Solutions (DFIN)**

Donnelley Financial Solutions (DFIN) is the leading provider of innovative software and technology-enabled financial regulatory and compliance solutions.

We provide regulatory filing and data solutions to public and private companies, mutual funds, and other regulated investment firms. We support every stage of our clients' business and investment lifecycles.

Learn about DFIN's end-to-end risk and compliance solutions

Visit [DFINsolutions.com](https://DFINsolutions.com) | Call us [+1 800 823 5304](tel:+18008235304)