



PRODUCTS & SOLUTIONS | FORM N-MFP SOLUTION

The Better Way to Meet Form N-MFP Requirements: DFIN ArcRegulatory® End-to-End Reporting Software



Streamline your filing process with the industry leader by your side — DFIN

Form N-MFP requires data to be aggregated, reviewed and filed with the SEC in an XML format on the fifth business day of each month. At DFIN, we understand that creating and filing Form N-MFP can be a time-consuming process. It often requires handling numerous data sources and a variety of file formats from multiple vendors.

Realize greater efficiency and remain compliant with ArcRegulatory

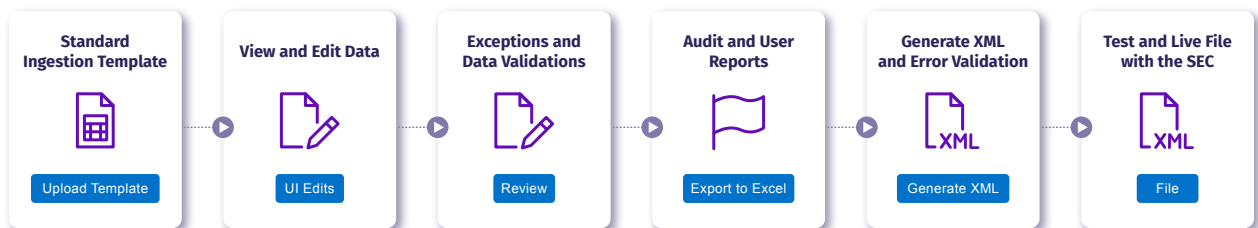
DFIN's groundbreaking global reporting platform, ArcRegulatory, allows you to execute sophisticated regulatory tasks through a single, efficient application. The secure, proven platform was designed to gather and merge data and produce the XML file format necessary to file Form N-MFP with the SEC.

Additionally, DFIN's regulatory platform is carefully maintained and continuously updated with SEC regulatory changes. For example, in 2023, the SEC made additional changes to Form N-MFP, introducing new requirements and a new XML schema, which took effect in June 2024. DFIN was able to update ArcRegulatory in a timely manner for all of these SEC changes, keeping our clients in compliance with SEC guidelines.

WITH ARCREGULATORY'S EASY-TO-USE SOLUTION YOU CAN:

- Ingest data leveraging standard templates, including Form N-MFP
- Bulk data ingest, process and file all funds
- Roll forward static fund information month to month
- Easily review data within the application or with Excel-based reports
- Ensure consistency and version control through the reuse and sharing of common content across funds
- Ensure a controlled environment via role-based action
- Produce audit reports to track user changes
- Create XML and file directly with the SEC
- Automate the check of XML schema errors

Streamline the process of creating, producing, reviewing and filing Form N-MFP



Need to file Form N-CEN? ArcRegulatory can meet those end-to-end requirements too.

ArcRegulatory is a comprehensive, end-to-end solution for creating, reviewing and filing Form N-CEN:

- ✓ Ensures regulatory compliance with the SEC
- ✓ Loads data via standard ingestion template
- ✓ Generates XML output and detects filing errors
- ✓ Uses intuitive interface facilitating user-driven edits
- ✓ Files test and live data directly with the SEC
- ✓ Rolls forward static data year over year
- ✓ Provides audit and user reports to enhance the review process
- ✓ Offers managed service option

Why DFIN?

ArcRegulatory's add-on module for Form N-MFP is based on DFIN's existing Arc Suite® ecosystem, which utilizes an integrated services model that places our clients at the heart of what we do, enabling an enhanced and customized experience. Simply put, DFIN is the one resource you need to answer all of your unique regulatory needs and concerns. A comprehensive end-to-end solution, Arc Suite can help you master the challenges and intricacies of an active regulatory environment with more assuredness. To that end, ArcRegulatory's software is automatically updated with the latest requirements and revised regulations, so you can be confident your firm is current and fully compliant.

Ultimately, when you choose to work with DFIN, you are preparing for the future.

You're buying into something bigger than a single software solution; you're partnering with an established market leader that is forward leaning and thinking — which, in turn, will help your organization be better prepared for what is to come.

About Donnelley Financial Solutions (DFIN)

Donnelley Financial Solutions (DFIN) is the leading provider of innovative software and technology-enabled financial regulatory and compliance solutions.

We provide regulatory filing and data solutions to public and private companies, mutual funds, and other regulated investment firms. We support every stage of our clients' business and investment lifecycles.

Learn about DFIN's end-to-end risk and compliance solutions

Visit DFINsolutions.com | Call us [+1 800 823 5304](tel:+18008235304)