



PRODUCTS & SOLUTIONS | FORM N-CEN SOLUTION

Simplify Your Form N-CEN Filing Process with DFIN ArcRegulatory® End-to-End Reporting Software

Turn to DFIN — the industry leader — to file Form N-CEN with ease and confidence

Form N-CEN is required to be filed annually in an XML format during a period of 75 days after the fiscal year-end for all investment management companies and calendar year-end for unit investment trusts. At DFIN, we know that creating and filing Form N-CEN can be time-consuming. It often requires handling data from multiple sources in a variety of formats.

Make your Form N-CEN process more efficient — and stay compliant — with ArcRegulatory

With DFIN's innovative global reporting platform, ArcRegulatory, you can easily perform complicated regulatory activities within one streamlined application. This platform is both proven and secure — and was designed to consolidate data and produce the XML file format you need to successfully file Form N-CEN with the SEC.

DFIN's regulatory platform is constantly maintained and continuously updated with SEC regulatory changes. For example, the Liquidity Risk Management rule changes impact Form N-CEN effective November 17, 2025, and will increase transparency of liquidity service providers as well as update the disclosure of LEIs and RSSD IDs. Our platform will support this future requirement.

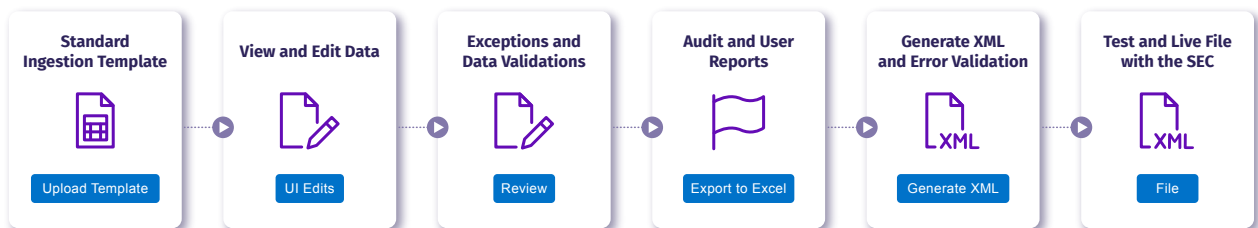
Lacking time and/or resources? Rely on DFIN's managed service offering.

Let DFIN's team of Form N-CEN subject matter experts aggregate, review and file Form N-CEN with the SEC for you. They utilize automated validations and exceptions tools to ensure data consistency and accuracy, period over period. And our experienced team will communicate directly with the SEC about Form N-CEN on your behalf.

WITH ARCREGULATORY'S EASY-TO-USE SOLUTION YOU CAN:

- Ingest data leveraging standard templates, including Form N-CEN
- Ingest, process and file bulk data for all funds
- Roll forward static fund information year to year
- Easily review data within the application or with Excel-based reports
- Ensure a controlled environment via role-based action
- Produce audit reports to track user changes
- Create XML and file directly with the SEC
- Customize your approval process
- Automate the check of XML schema errors

Streamline the process of creating, producing, reviewing and filing Form N-CEN



Need to file Form N-PORT? ArcRegulatory meets your requirements — beginning to end.

ArcRegulatory is a complete, end-to-end solution for creating, reviewing and filing Form N-PORT:

- ✓ Ensures regulatory compliance with the SEC
- ✓ Loads data via standard ingestion template
- ✓ Generates XML output and detects filing errors
- ✓ Uses intuitive interface facilitating user-driven edits
- ✓ Ensures consistency and version control through the reuse and sharing of common content across funds
- ✓ Performs risk metrics calculations
- ✓ Files test and live data directly with the SEC
- ✓ Rolls forward static data month over month
- ✓ Provides audit and user reports to enhance the review process
- ✓ Offers managed service option

Why DFIN?

The development of ArcRegulatory's add-on module for Form N-CEN was built on the foundation of DFIN's existing Arc Suite® ecosystem, which grew from our integrated services model that puts our clients' needs at the very center of our business, enabling them to customize their experience. In essence, we are a single solution that can answer all your unique regulatory needs and concerns. A true end-to-end resource, Arc Suite can help you oversee today's dynamic regulatory environment — and all the challenges that come with it — with more confidence and control. For example, ArcRegulatory's software is continuously updated with new regulations and changing requirements, so you can be confident your organization is current and in full compliance.

Ultimately, when you choose to work with DFIN, you are preparing for the future.

You're buying into something bigger than a single software solution; you're partnering with an established market leader that is forward leaning and thinking — which, in turn, will help your organization be better prepared for what is to come.

About Donnelley Financial Solutions (DFIN)

Donnelley Financial Solutions (DFIN) is the leading provider of innovative software and technology-enabled financial regulatory and compliance solutions.

We provide regulatory filing and data solutions to public and private companies, mutual funds, and other regulated investment firms. We support every stage of our clients' business and investment lifecycles.

Learn about DFIN's end-to-end risk and compliance solutions

Visit DFINsolutions.com | Call us [+1 800 823 5304](tel:+18008235304)