



PRODUCTS & SOLUTIONS | CPO-PQR SOLUTION

DFIN ArcRegulatory[®] End-to-End Reporting Software: Meet Form CPO-PQR Requirements with Greater Ease and Confidence

Rely on the industry leader to streamline your filing process

At DFIN, we know that creating and filing Form CPO-PQR (Commodity Pool Operators-Pool Quarterly Report) can be a time-consuming process. This process frequently requires handling various file formats and intricate data input to provide essential operational and financial information — and then it must be filed within 60 days of each quarter end (or 90 days for the 4th quarter) to remain compliant and avoid late filing fees.

Make your CPO-PQR process more efficient with ArcRegulatory

DFIN's innovative global reporting platform, ArcRegulatory, allows you to easily perform complex regulatory activities through a single, streamlined application. A proven, secure web-based platform, this tool was designed to consolidate data and produce XML files that you can download for your Form CPO-PQR filing with the NFA.

WITH ARCREGULATORY'S EASY-TO-USE SOLUTION YOU CAN:

• Ingest data leveraging standard templates, including CPO-PQR	• Create XML for upload to NFA's EasyFile
• Manage all pools together using the batch processing feature	• Automate the check of XML schema errors
• Review and edit uploaded data	• Easily review data within the application or with Excel-based reports
• Roll forward static fund information quarter to quarter	• Ensure a controlled environment via role-based action
• Perform regulatory-specific calculations	• Produce audit reports to track user changes

Streamline the process of creating, producing, reviewing and filing Form CPO-PQR



Need to file Form PF? ArcRegulatory meets your end-to-end requirements.

For those who file Form PF, regulatory changes are coming in Q1 of 2025. The new SEC requirements and regulatory updates:

- Institute changes to periodic reporting requirements for large private equity fund advisers
- Include significant changes to Section 1 and redesign of Section 2
- Expand new reporting requirements to all existing Form PF filers and qualifying large hedge fund advisers

ArcRegulatory is a full, end-to-end solution for creating, reviewing and filing Form PF:

- ✓ Ensures regulatory compliance with all SEC amendments
- ✓ Loads data via standard ingestion template
- ✓ Generates XML output and detects filing errors
- ✓ Uses intuitive interface facilitating user-driven edits
- ✓ Files test and live data directly with FINRA
- ✓ Rolls forward static data between account periods
- ✓ Provides audit and user reports to enhance the review process

Why DFIN?

ArcRegulatory's two add-on modules for Forms CPO-PQR and PF build on the foundation of DFIN's existing Arc Suite® ecosystem, which is grounded in a framework of integrated services that put our customers at the center, allowing them to tailor their experience. Simply put, we're a one-stop shop that can answer all your unique regulatory needs and challenges.

A true end-to-end solution, Arc Suite can help you manage the challenges and complexities of an ever-changing regulatory environment with more confidence and control. For example, ArcRegulatory's software automatically keeps up with new regulations and changing requirements, so you can rest easy knowing your organization is current and in compliance.

Ultimately, when you choose to work with DFIN,
you are preparing for the future.

You're buying into something bigger than a single software solution; you're partnering with an established market leader that is forward leaning and thinking — which, in turn, will help your organization be better prepared for what is to come.

About Donnelley Financial Solutions (DFIN)

Donnelley Financial Solutions (DFIN) is the leading provider of innovative software and technology-enabled financial regulatory and compliance solutions.

We provide regulatory filing and data solutions to public and private companies, mutual funds, and other regulated investment firms. We support every stage of our clients' business and investment lifecycles.

Learn about DFIN's end-to-end risk and compliance solutions

Visit DFINsolutions.com | Call us [+1 800 823 5304](tel:+18008235304)